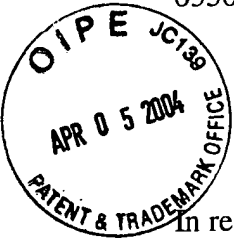


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03500.011922.2

PATENT APPLICATION



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
: Examiner: M. Cleveland
MITSUTOSHI HASEGAWA)
: Group Art Unit: 1762
Application No.: 09/966,595)
:
Filed: October 1, 2001)
:
For: METHOD OF MANUFACTURING)
ELECTRON-EMITTING DEVICE, :
ELECTRON SOURCE AND IMAGE-)
FORMING APPARATUS : April 2, 2004

Mail Stop Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR ACKNOWLEDGMENT OF CONSIDERATION
OF PREVIOUSLY CITED ART

Sir:

Applicant has received a Notice of Allowance (dated March 19, 2004) from the U.S. Patent and Trademark Office (USPTO) in this application. The Examiner is sincerely thanked for allowing this application. However, Applicants have not yet received confirmation that the Examiner has considered the art cited in the Information Disclosure Statement filed in the USPTO on February 26, 2004 and the English translation of that art, supplied with the Submission of English Translation filed in the USPTO with a certificate of mailing under 37 C.F.R. § 1.8 on March 18, 2004 (i.e., prior to issuance of the Notice of

Allowance).¹ A copy of the Information Disclosure Statement, a copy of the Submission of English Translation, and copies of the associated Forms PTO-1449, are enclosed, as are copies of return receipt postcards stamped by the USPTO to confirm receipt thereof.

STATEMENT UNDER 37 C.F.R. § 1.97(e(1))

It is noted that the art cited in the Information Disclosure Statement filed on February 26, 2004 was first cited in any foreign counterpart within the three months prior to the filing of the Information Disclosure Statement, and therefore no \$180.00 is believed to be necessary for having that art considered.

CONCLUSION

Applicants respectfully request that the Examiner consider and make of record the art cited in the Information Disclosure Statement and the English translation of that art cited in the Submission of English Translation mentioned above, and issue initialed copies of the accompanying Forms PTO-1449 as confirmation thereof.

If the Examiner needs an additional copy of the cited art, the Examiner is respectfully requested to contact Applicant's undersigned representative.

^{1/} The Submission of English Translation was filed as a supplement to the Information Disclosure Statement.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,



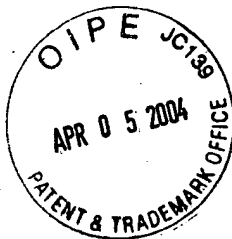
Attorney for Applicant

Registration No.

42,476

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

NY_MAIN 419213v1



Commissioner for Patents
Washington, D.C. 20231

Date 3/18/04
Mo. Day Yr.
Atty. Docket 03500, 011922.3
Application No. 29/966,595

Sir:

Kindly acknowledge receipt of the accompanying:

- ☐ Response to Official Action. _____
- ☐ Check for \$ _____ (claims fee)
- ☐ Petition under 37 CFR 1.136 and Check for \$ _____
- ☐ Notice of Appeal and Check for \$ _____
- ☒ Information Disclosure Statement, PTO-1449 and 1 (one) document
- ☐ Claim for priority and certified copies of _____ priority applications
- ☐ Issue fee transmittal and Check for \$ _____
- ☐ Other (specify) _____

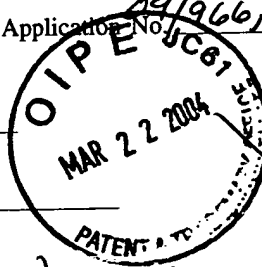
by placing your receiving date stamp hereon and mailing or returning to deliverer.

Atty. FAD/am

Due Date N, D, D
Mo. Day Yr.

37 CFR 1.8 ☒
37 CFR 1.10 ☐
By Hand ☐

FOHS-B-00





03500.011922.3

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
: Examiner: M. Cleveland
MITSUTOSHI HASEGAWA)
: Group Art Unit: 1762
Application No.: 09/966,595)
:
Filed: October 1, 2001)
:
For: METHOD OF MANUFACTURING)
ELECTRON-EMITTING DEVICE,)
ELECTRON SOURCE AND IMAGE-)
FORMING APPARATUS : March 18, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF ENGLISH TRANSLATION

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, and
supplemental to the Information Disclosure Statement filed on February 26, 2004, Applicant is
submitting herewith the document listed on the enclosed Form PTO-1449. One copy of the listed

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first-class mail in an envelope addressed
to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-
1450 on

March 18, 2004
(Date of Deposit)

Frank A. DeLucia, Jr.

(Name of Attorney for Applicant)

Signature

March 18, 2004
Date of Signature

document is enclosed. The document is an English translation of Japanese Publication No. 07-81057, respectively, which was cited in the Information Disclosure Statement filed on February 26, 2004.

For the concise explanation of relevance for the mentioned Japanese publication, which is non-English, the Examiner is respectfully referred to the enclosed English translation which corresponds thereto.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Since the enclosed English translation is submitted merely as a supplement to the Information Disclosure Statement timely filed on February 26, 2004 (and prior to issuance of a Notice of Allowance), which cited the Japanese version of the translation, it is believed that no fee is required in connection with this Submission. However, if a fee is deemed required, please charge any such fee to Deposit Account 06-1205.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



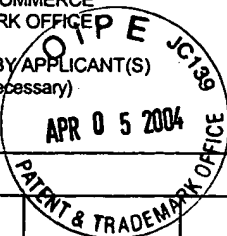
Attorney for Applicant

Registration No. 42,476

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3800
Facsimile: (212) 218-2200

NY_MAIN # 416011v1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

ATTY DOCKET NO.

03500.011922.3

APPLICATION NO.

09/966,595

APPLICANT

Mitsutoshi Hasegawa

FILING DATE

October 1, 2001

GROUP

1762

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
7-81057	03/28/95	Japan	B41J	2/045	Trans.

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date 2, 26, 04
Mo. Day Yr.
Atty. Docket 03500.011922.3
Application No. 09/966,595

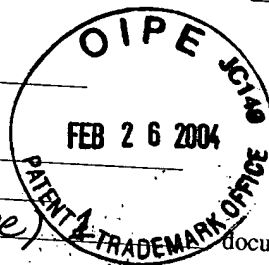
Sir:

Kindly acknowledge receipt of the accompanying:

- ☐ Response to Official Action. _____
- ☐ Check for \$ _____ (claims fee)
- ☐ Petition under 37 CFR 1.136 and Check for \$ _____
- ☐ Notice of Appeal and Check for \$ _____
- ☒ Information Disclosure Statement, PTO-1449 and (one) documents
- ☐ Claim for priority and certified copies of _____ priority applications
- ☐ Issue fee transmittal and Check for \$ _____
- ☒ Other (specify) Chinese Office Action + Eng. Trans. dated 01/16/04

by placing your receiving date stamp hereon and returning to deliverer.

Atty. FAD/am Due Date N, D, D * By Hand
Mo. Day Yr.



FOHS-D-00



03500.011922.3

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
	:	Examiner: M. Cleveland
mitsutoshi Hasegawa)	
	:	Group Art Unit: 1762
Application No.: 09/966,595)	
	:	
Filed: October 1, 2001)	
	:	
For: METHOD OF MANUFACTURING)	
ELECTRON-EMITTING DEVICE,	:	
ELECTRON SOURCE AND IMAGE-)	
FORMING APPARATUS	:	February 25, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SECOND INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the document listed on the enclosed Form PTO-1449. A copy of the listed document is also enclosed, together with a copy of a January 16, 2004, Chinese Office Action (and an English translation thereof) citing it, issued in a Chinese counterpart of the present application.

The concise explanation of relevance for the non-English document JP 07-81057 is provided by the English abstract attached thereto.

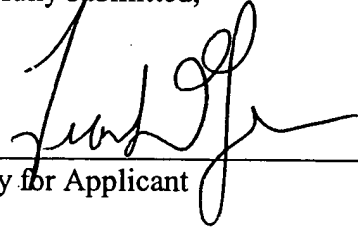
Applicant notes that non-U.S. Patent document EP 0 658 912 A1 is not listed on the Form PTO-1449, since it was previously cited in an Information Disclosure Statement filed on October 1, 2001.

Since no final Office Action or Notice of Allowance has been received in this application since the issuance of the previous non-final Office Action, it is believed that no \$180.00 fee is required in connection with this paper. However, if a fee is required, please charge any such fee to Deposit Account 06-1205.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,



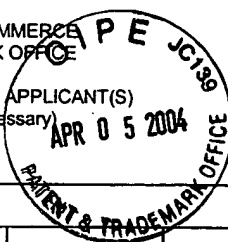
Attorney for Applicant

Registration No. 42,476

FITZPATRICK, CELLA, HARPER & SCINTO
30 Rockefeller Plaza
New York, New York 10112-3800
Facsimile: (212) 218-2200

NY_MAIN # 409655v1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

ATTY DOCKET NO.

03500.011922.3

APPLICATION NO.

09/966,595

APPLICANT

Mitsutoshi Hasegawa

FILING DATE

October 1, 2001

GROUP

1762

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
7-81057	03/28/95	Japan	B41J	2/045	Abst.

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.